

Degenerations of special holonomy metrics

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Géométrie: échanges et perspectives, Institut Henri Poincaré, February 16 2018

Special holonomy

10.92 Corollary. *Let (M, g) be a Riemannian manifold of dimension n which is not locally symmetric and whose holonomy representation Hol^0 is irreducible. Then its holonomy representation Hol^0 is one of the following:*

H. Structure II

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- (I) $\text{Hol}^0 = SO(n)$
- (II) $n = 2m$ and $\text{Hol}^0 = U(m)$ ($m \geq 2$)
- (III) $n = 2m$ and $\text{Hol}^0 = SU(m)$ ($m \geq 2$)
- (IV) $n = 4m$ and $\text{Hol}^0 = Sp(1) \cdot Sp(m)$ ($m \geq 2$)
- (V) $n = 4m$ and $\text{Hol}^0 = Sp(m)$ ($m \geq 2$)
- ~~(VI) $n = 16$ and $\text{Hol}^0 = Spin(9)$~~
- (VII) $n = 8$ and $\text{Hol}^0 = Spin(7)$
- (VIII) $n = 7$ and $\text{Hol}^0 = G_2$.

Hyperkähler 4-manifolds

- A Riemannian 4-manifold (M^4, g) is **hyperkähler** if $\text{Hol}(g) \subseteq \text{SU}(2)$.
- **hyperkähler triple**: $\underline{\omega} = (\omega_1, \omega_2, \omega_3)$ 2-forms on M such that

$$d\omega_i = 0, \quad \frac{1}{2} \omega_i \wedge \omega_j = \delta_{ij} \omega_1^2.$$

- Hyperkähler 4-manifolds are **Kähler Ricci-flat**:
 - $\omega_c = \omega_2 + i\omega_3$, $\omega_c \wedge \omega_c = 0$, $\omega_c \wedge \bar{\omega}_c > 0 \rightsquigarrow$ complex structure J
 - Kähler form $\omega = \omega_1$ ($\omega \wedge \omega_c = 0$, $d\omega = 0$) with vanishing Ricci-form

$$\omega^2 = \frac{1}{2} \omega_c \wedge \bar{\omega}_c$$

- The (smooth 4-manifold underlying a complex) **K3 surface**
 - every Einstein metrics on the K3 surface is hyperkähler

$$\frac{1}{2\pi^2} \int_M \frac{1}{48} \text{Scal}^2 + |W_+|^2 = 2\chi + 3\tau = 0, \quad \Delta_{\Lambda^+} = \nabla^* \nabla - 2W_+ + \frac{1}{3} \text{Scal}$$

- **Period map**

$$\mathcal{P}: \mathcal{M} \longrightarrow \text{Gr}^+(3, 19) / \text{Aut}(\text{H}^2(K3, \mathbb{Z})), \quad \underline{g}_\omega \longmapsto \text{span}([\underline{\omega}]).$$

Non-collapsed limits

Theorem (Nakajima 1988, Bando–Kasue–Nakajima 1989, Anderson 1990)

Fix $\Lambda, C, V, D > 0$ and let (M_i^4, g_i) be a sequence of Einstein 4-manifolds satisfying

1. $|\text{Ric}(g_i)| \leq \Lambda,$
2. $\chi(M_i) \leq C,$
3. $\text{Vol}(M_i, g_i) \geq V,$
4. $\text{diam}(M_i, g_i) \leq D.$

Then a subsequence converges to an Einstein **orbifold** (M_∞, g_∞) with a **definite number** of isolated singular points.

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Then a subsequence converges to an Einstein **orbifold** (M_∞, g_∞) with a **definite number** of isolated singular points.

- **non-collapsing:** $\text{Vol}_{g_i}(B_1(p)) \geq v > 0$ for all $p \in M_i$
- **finite energy:** $\|\text{Rm}_{g_i}\|_{L^2} \leq C$

$$8\pi^2\chi(M) = \int_M \frac{1}{24}\text{Scal}^2 + |W|^2 - \frac{1}{2}|\overset{\circ}{\text{Ric}}|^2$$

Non-collapsed limits

Theorem (continued)

$(M_i^4, g_i) \xrightarrow{GH} (M_\infty, g_\infty)$ Einstein orbifold

For each singular point $x \in M_\infty$ one can find $x_i \in M_i$ and $r_i \rightarrow \infty$ such that, up to subsequences, $x_i \rightarrow x$ and $(M_i, r_i^2 g_i, x_i)$ converges to a Ricci-flat **ALE** 4-manifold (W, h, x_∞) of rate $\nu = -4$.

- $\Gamma \subset \text{SO}(4)$ finite, acting freely on $\mathbb{R}^4 \setminus \{0\}$
- $f: (\mathbb{R}^4 \setminus B_R)/\Gamma \rightarrow W \setminus K$

$$|\nabla^k(f^* h - h_{\mathbb{R}^4/\Gamma})| = O(r^{\nu-k})$$

- Bando (1990), Anderson–Cheeger (1991): “bubble-tree” of ALE orbifolds

ALE gravitational instantons

- **gravitational instanton**: complete hyperkähler 4-manifold with decaying curvature
 - finite energy: $\|\text{Rm}\|_{L^2} < \infty$
 - faster than quadratic curvature decay: $|\text{Rm}| = O(r^{-2-\delta})$, $\delta > 0$
- **volume growth**: $c r \leq \text{Vol}(B_r) \leq C r^4$
- Bando–Kasue–Nakajima (1989): complete Ricci-flat $d = 4$ + finite energy + maximal volume growth \implies ALE of rate -4

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- **Eguchi–Hanson metric** on $T^*S^2 = \mathcal{O}_{\mathbb{P}^1}(-2)$ (1978)

$$\varphi_t = \sqrt{r^4 + t^4} + 2t^2 \log r - t^2 \log \left(\sqrt{r^4 + t^4} + t^2 \right)$$

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- Kronheimer (1989)
 - Γ finite group of $SU(2)$ that acts freely on $\mathbb{C}^2 \setminus \{0\}$
 - X_Γ smooth 4-manifold underlying minimal resolution of \mathbb{C}^2/Γ
 - $[\underline{\omega}](\Sigma) \neq \underline{0} \in \mathbb{R}^3$ for all $\Sigma \in H_2(X_\Gamma, \mathbb{Z})$, $\Sigma \cdot \Sigma = -2$

The Kummer construction

Gibbons–Pope 1979, LeBrun–Singer 1994, Donaldson 2012

Building blocks:

- A background metric to model the region where curvature stays bounded
Flat orbifold T^4/\mathbb{Z}_2 ; cut-out neighbourhoods of the 16 orbifold singularities
- Non-compact “bubbles” to model the geometry of high curvature regions
Eguchi–Hanson ALE metric on T^*S^2

Gluing:

- Use the building blocks to construct an approximate solution.
- Use analysis to perturb to an exact Ricci-flat metric.

The Kummer construction

■ Complex Monge–Ampère equation

- construct complex structure by blow-up: ω_c
- solve $(\omega + i\partial\bar{\partial}u)^2 = \frac{1}{2}\omega_c \wedge \bar{\omega}_c$ using the Implicit Function Theorem

■ Gluing hyperkähler triples

- choice of gauge $SO(4)/U(2) \simeq S^2$ at each singular point
- gluing \rightsquigarrow closed approximately hyperkähler triple $\underline{\omega}_t$

$$\omega_i \wedge \omega_j = Q_{ij} \omega_1^2, \quad Q = \text{id} + O(t^2)$$

- perturb $\underline{\omega}_t \mapsto \underline{\omega}_t + d\underline{\mathbf{a}} + \underline{\zeta}$, $\underline{\mathbf{a}} \in \Omega^1$, $\underline{\zeta} \in \mathcal{H}^+ \otimes \mathbb{R}^3$,

$$d^+ \underline{\mathbf{a}} + \underline{\zeta} = \mathcal{F}(Q - \text{id} + d^- \underline{\mathbf{a}} * d^- \underline{\mathbf{a}}), \quad d^* \underline{\mathbf{a}} = 0$$

- parameter count: $58 = 10 + 16 \times 3$

Higher dimensions

■ codimension 4 orbifold singularities

- Codimension 4 Conjecture: Cheeger–Naber (2015), Cheeger (2003), Cheeger–Tian (2005)
- Joyce's construction of G_2 and Spin_7 manifolds by desingularising T^d/Γ (1996)

■ isolated conical singularities

- Riemannian cones with special holonomy (Sasaki–Einstein, 3–Sasakian, nearly Kähler manifolds,...)
- deformation and smoothing theory (Joyce, Chan, Karigiannis, Karigiannis–Lotay), AC Calabi–Yau manifolds (Joyce, vanCoevering, Goto, Conlon–Hein)
- Hein–Sun (2017): existence of Calabi–Yau manifolds with isolated conical singularities

Collapse

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- Anderson (1992)

Theorem III below.) Thus, suppose that for all $x \in M$,

$$\text{vol}_{g_i}(B_x(1)) \rightarrow 0, \quad \text{as } i \rightarrow \infty. \quad (5.2)$$

In this case, we have the following result. Recall that a sequence of Riemannian manifolds (X_i, g_i) collapses, in the sense of Cheeger-Gromov [15], if

$$\begin{aligned} \text{inj}_i(x) &\rightarrow 0, \quad \text{as } i \rightarrow \infty, \quad \text{and} \\ \text{inj}_i(x)^2 \cdot |R_i|(x) &\leq \varepsilon_0, \end{aligned} \quad (5.3)$$

for any $x \in X_i$. Here $\text{inj}_i(x)$ is the injectivity radius of (X_i, g_i) at x , while ε_0 is a small absolute constant (the Cheeger-Gromov constant).

THEOREM 5.1. *If $\{g_i\}$ is a sequence of Einstein metrics on M , of volume 1, satisfying (5.2), then $\{g_i\}$ collapses, in the sense of Cheeger-Gromov, metrically on the complement of finitely many points $\{z_k\} \in M$.*

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- Cheeger–Tian (2006): **bounded curvature outside finitely many points**

Theorem 0.1 (Collapse implies L_2 concentration of curvature). *There exists $v > 0$, β, c , such that the following holds. Let M^4 denote a complete Einstein 4-manifold satisfying*

$$(0.2) \quad |\lambda| \leq 3,$$

$$(0.3) \quad \int_{M^4} |R|^2 \leq C,$$

and for all p and some $s \leq 1$,

$$(0.4) \quad \frac{\text{Vol}(B_s(p))}{s^4} \leq v.$$

Then there exist p_1, \dots, p_N , with

$$(0.5) \quad N \leq \beta \cdot C,$$

such that

$$(0.6) \quad \int_{M^4 \setminus (\cup_i B_s(p_i))} |R|^2 \leq c \cdot \left(\sum_i \frac{\text{Vol}(B_s(p_i))}{s^4} + \lim_{r \rightarrow \infty} \frac{\text{Vol}(B_r(p))}{r^4} \right).$$

Theorem 0.8 (ϵ -regularity). *There exists $\epsilon > 0$, c , such that the following holds. Let M^4 denote an Einstein 4-manifold satisfying (0.2) and let $r \leq 1$. If $B_s(p)$ has compact closure for all $s \leq r$ and*

$$(0.9) \quad \int_{B_r(p)} |R|^2 \leq \epsilon,$$

then

$$(0.10) \quad \sup_{B_{\frac{1}{2}r}(p)} |R| \leq c \cdot r^{-2}.$$

Collapse

- Anderson (1992): Cheeger–Gromov collapse outside finitely many points
- Cheeger–Tian (2006): bounded curvature outside finitely many points
- Cheeger–Fukaya–Gromov (1992): collapse with bounded curvature
 - **locally:** $3r \in (0, \|\text{Rm}_{g_i}\|_{L^\infty}^{-\frac{1}{2}})$
 - $(B_{3r}(0) \subset T_{p_i}M_i \simeq \mathbb{R}^n, \exp_{p_i}^*g_i) \xrightarrow{C^\infty} (B_{3r}(0), \hat{g}_\infty)$
 - local pseudo-group of isometries Γ_i of $(B_r(0), \exp_{p_i}^*g_i)$: $x \sim_{\Gamma_i} y \iff \exp_{p_i}(x) = \exp_{p_i}(y) \in M_i$
 - $\Gamma_i \rightarrow \Gamma_\infty$ pseudo-group of isometries of $(B_r(0), \hat{g}_\infty)$ with neighbourhood of 1 isomorphic to neighbourhood of 1 in nilpotent Lie group
 - $(B_r(p_i) \subset M_i, g_i) \xrightarrow{\text{GH}} (B_r(0), \hat{g}_\infty)/\Gamma_\infty$

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 - $(B_r(p_i) \subset M_i, g_i) \xrightarrow{\text{GH}} (B_r(0), \hat{g}_\infty) / \Gamma_\infty$
- Page (1981): Kummer construction along a 1-parameter family of “split” 4-tori $T^4 = T^{4-k} \times T_\epsilon^k$ with $\text{Vol}(T_\epsilon^k) = \epsilon \rightarrow 0 \rightsquigarrow$ complete Ricci-flat manifolds asymptotic to $(\mathbb{R}^{4-k} \times T^k) / \mathbb{Z}_2$ as rescaled limits
Hitchin (1984), Biquard–Minerbe (2011)

The Gibbons–Hawking Ansatz

The **Gibbons–Hawking Ansatz** (1978): local form of hyperkähler metrics in dimension 4 with a triholomorphic circle action

- h **positive harmonic function** on $U \subset \mathbb{R}^3$
- $M \rightarrow U$ principal $U(1)$ -bundle and connection θ with $d\theta = *dh$

$$g = h g_{\mathbb{R}^3} + h^{-1} \theta^2 \text{ is a hyperkähler metric on } M$$

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Example: ALE and ALF metrics of cyclic type

$$g_m = \left(m + \sum_{i=1}^n \frac{1}{2|x - a_i|} \right) dx \cdot dx + \left(m + \sum_{i=1}^n \frac{1}{2|x - a_i|} \right)^{-1} \theta^2$$

- a_1, \dots, a_n distinct \rightsquigarrow complete metric
- $a_1 = \dots = a_{k+1} \rightsquigarrow$ orbifold singularity $\mathbb{C}^2/\mathbb{Z}_k$
- m is called the **mass**
 - $m = 0 \rightsquigarrow$ **ALE**
 - $m > 0 \rightsquigarrow$ **ALF**

ALF gravitational instantons

- (M^4, g) is **ALF** (asymptotically locally flat):

finite group $\Gamma < O(3)$ acting freely on S^2

$M \setminus K \rightarrow (\mathbb{R}^3 \setminus B_R)/\Gamma$ circle fibration and (up to a double cover)

$$|\nabla^k (g - g_\infty)| = O(r^{-\tau-k}), \quad \tau > 0, \quad g_\infty = g_{\mathbb{R}^3/\Gamma} + \theta^2$$

- $\Gamma = \text{id} \implies$ ALF space of **cyclic** type
- $\Gamma = \mathbb{Z}_2 \implies$ ALF space of **dihedral** type

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Minerbe (2010–2011)

- (M^4, g) , $\text{Ric}(g) \geq 0$, quadratic curvature decay, $\text{Vol}(B_r(p)) \leq Cr^a$ with $a < 4$ for all $p \in M \implies a \leq 3$
- cubic volume growth + faster than quadratic curvature decay + hyperkähler \implies ALF
- all ALF spaces of cyclic type obtained from Gibbons–Hawking ansatz
 - multi-Taub–NUT space with $n + 1$ “nuts”
 - A_n ALF space

ALF spaces of dihedral type

(M, g) is a D_m ALF space if up to a double cover g is asymptotic to the Gibbons–Hawking metric obtained from the harmonic function

$$h = 1 + \frac{2m - 4}{2|x|}.$$

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G. Chen – X. Chen (2015) classified ALF spaces of dihedral type:

- The D_0 ALF space is the Atiyah–Hitchin manifold (1988).
- The D_1 ALF metrics are the double cover of the Atiyah–Hitchin metric and its Dancer deformations (1993).
- The D_2 ALF spaces are Page’s “periodic but nonstationary” gravitational instantons. Constructed by Hitchin (1984) and Biquard–Minerbe (2011).
- D_m , $m \geq 3$, constructed by Cherkis–Kapustin (1999) and Cherkis–Hitchin (2005), Biquard–Minerbe (2011) and Auvray (2012).

Codimension 1 collapse

Theorem (F. 2016)

For every collection of 8 ALF spaces of dihedral type M_1, \dots, M_8 and n ALF spaces of cyclic type N_1, \dots, N_n satisfying

$$\sum_{j=1}^8 \chi(M_j) + \sum_{i=1}^n \chi(N_i) = 24$$

there exists a sequence $\{g_\epsilon\}$ of Ricci-flat metrics on the K3 surface s.t.:

- As $\epsilon \rightarrow 0$ the metric g_ϵ collapses to the flat orbifold $\mathbb{T}^3/\mathbb{Z}_2$ with bounded curvature outside $8 + n$ points
- An ALF space of dihedral type arises as a rescaled limit of the sequence close to one of the fixed points of the involution on \mathbb{T}^3
- An ALF space of cyclic type arises as a rescaled limit of the sequence close to one of the other n points

The GH ansatz over a punctured 3-torus

- flat 3-torus + involution τ with $\text{Fix}(\tau) = \{q_1, \dots, q_8\}$
 - integer weight $m_j \in \mathbb{Z}_{\geq 0}$ to each q_j
- further distinct $2n$ points $\pm p_1, \dots, \pm p_n$
 - integer weight $k_i \geq 1$ to each pair $\pm p_i$
- $\sum m_j + \sum k_i = 16 \implies$ harmonic function h with prescribed singularities

$$h = \frac{k_i}{2 \text{dist}(\pm p_i, \cdot)} + O(1) \quad h = \frac{2m_j - 4}{2 \text{dist}(q_j, \cdot)} + O(1)$$

- (incomplete) hyperkähler metric

$$g_\epsilon^{\text{gh}} = (1 + \epsilon h) g_{T^3} + \epsilon^2 (1 + \epsilon h)^{-1} \theta^2$$

- for $\epsilon > 0$ sufficiently small $1 + \epsilon h > 0$ outside of balls of radius $\propto \epsilon$ around the points q_j with $m_j = 0, 1$
- glue in
 - an A_{k_i-1} ALF space close to $\pm p_i$
 - a D_{m_j} ALF space close to q_j
- perturb resulting approximate hyperkähler triple

Higher dimensions

Collapse of 7-dimensional G_2 -manifolds to Calabi–Yau 3-folds

Theorem (F.–Haskins–Nordström 2017)

Let $(B, g_0, \omega_0, \Omega_0)$ be an **asymptotically conical Calabi–Yau 3-fold** asymptotic to a Calabi–Yau cone (C, g_C) and let $M \rightarrow B$ be a **principal circle bundle**.

Assume that $c_1(M) \neq 0$ but $c_1(M) \cup [\omega_0] = 0$.

Then for every $\epsilon > 0$ sufficiently small there exists an **S^1 -invariant G_2 -holonomy metric g_ϵ** on M with:

- **ALF-type asymptotics**: as $r \rightarrow \infty$, $g_\epsilon = g_C + \epsilon^2 \theta_\infty^2 + O(r^{-\nu})$
- **collapses with bounded curvature** as $\epsilon \rightarrow 0$: $g_\epsilon \sim_{C^{k,\alpha}} g_0 + \epsilon^2 \theta^2$

Collapse along elliptic fibrations

- $\pi: (M, \omega_c) \rightarrow \mathbb{P}^1$ **elliptic** complex K3 surface (with a section)
- 24 fibres of Kodaira type I_1 (pinched tori)
- $[\omega_\epsilon] \cdot \pi^{-1}(z) = \epsilon$

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- $[\omega_\epsilon] \cdot \pi^{-1}(z) = \epsilon$
- **semi-flat metric** $\omega_{sf,\epsilon}$ away from singular fibres
 - $\omega_{sf,\epsilon}^2 = \frac{1}{2} \omega_c \wedge \bar{\omega}_c$
 - $\omega_{sf,\epsilon}|_{\pi^{-1}(z)}$ flat metric of volume ϵ
- **Ooguri–Vafa metric** in the neighbourhood of singular fibres
 - GH ansatz on $B_r(0) \times S^1 \subset \mathbb{C}_z \times S^1$

$$h = -\frac{1}{2\pi\epsilon} \log |z| + \sum_{m \in \mathbb{Z}^*} \frac{1}{2\pi\epsilon} e^{it} K_0\left(\frac{2\pi}{\epsilon} |mz|\right)$$

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- Approximate solution ω_ε

$$\omega_\varepsilon^2 = \left(1 + O(e^{-c/\varepsilon})\right) \omega_c \wedge \bar{\omega}_c$$

- complex Monge–Ampère $(\omega_\varepsilon^2 + i\partial\bar{\partial}u_\varepsilon)^2 = \omega_c \wedge \bar{\omega}_c$

- all constants in Yau's proof blow-up polynomially in ε^{-1}

Collapse along elliptic fibrations

Theorem (Gross–Wilson 2000)

Let $\pi: (M, \omega_c) \rightarrow \mathbb{P}^1$ be an elliptic complex K3 surface (with a section) with 24 I_1 singular fibres. As $\varepsilon \rightarrow 0$ the Kähler Ricci-flat metric ω_ε such that $[\omega_\varepsilon] \cdot \pi^{-1}(z) = \varepsilon$ satisfies:

1. For every $k \geq 2$, $\alpha \in (0, 1)$ and every simply connected set $U \subset \mathbb{P}^1$ with closure contained in the complement of the 24 points corresponding to singular fibres there exist constants $C, c > 0$ such that $\|u_\varepsilon\|_{C^{k,\alpha}(U)} \leq Ce^{-c/\varepsilon}$.
2. (M, ω_ε) converges in Gromov–Hausdorff sense to \mathbb{P}^1 endowed with the distance induced by a metric ω_0 defined away from the 24 singular points and satisfying $\text{Ric}(\omega_0) = \omega_{\text{WP}}$.

Gross–Tosatti–Zhang (2013, 2016): extension of this result to arbitrary elliptic complex K3 surfaces

ALG and ALH gravitational instantons

Hein 2012

- $\pi: X \rightarrow \mathbb{P}^1$ rational elliptic surface
- ω_c on $M = X \setminus \pi^{-1}(\infty)$
- Kähler metric ω on M with $\omega = \omega_{sf}$ at infinity
- complex Monge–Ampère equation on $M \rightsquigarrow$ complete hyperkähler metric on M with volume growth r^2 (ALG), $r^{\frac{4}{3}}$ or r (ALH)

ALG and ALH gravitational instantons

Hein 2012

- $\pi: X \rightarrow \mathbb{P}^1$ rational elliptic surface
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Examples with faster than quadratic curvature decay

- Biquard–Minerbe (2011): minimal resolution of $(E \times \mathbb{C})/\Gamma$ (ALG) or $(\mathbb{R} \times T^3)/\mathbb{Z}_2$ (ALH with linear volume growth)
- Chen–Chen (2015): classification of gravitational instantons with faster than quadratic curvature decay
- Chen–Chen (2015): ALH spaces with linear volume growth and “stretching-the-neck” degenerations

ALG and ALH gravitational instantons

Examples with quadratic curvature decay

- Gibbons–Hawking ansatz on $(\mathbb{R}_z^2 \times S^1)/\mathbb{Z}_2$ or $(\mathbb{R}_s \times T^2)/\mathbb{Z}_2$

$$h = \frac{2b}{2\pi} \log |z|, \quad h = \frac{2b}{2\pi} |s|$$

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Degenerations of complex K3 surfaces

- Kulikov model $\pi: \mathcal{X} \rightarrow \Delta$ (\mathcal{X} smooth, $K_{\mathcal{X}} \simeq \mathcal{O}_{\mathcal{X}}$, $\pi^{-1}(0)$ reduced snc)
 - Type I: $\pi^{-1}(0)$ smooth
 - Type II: $\pi^{-1}(0)$ chain of $k \geq 2$ surfaces, rational surfaces at either end, elliptic ruled surfaces in the middle, double curves smooth elliptic curves
 - Type III: $\pi^{-1}(0)$ rational surfaces meeting along cycles of rational curves; dual graph is a triangulation of S^2
- after hyperkähler rotation Gross–Wilson is Type III
- Kobayashi (1990): speculations about metric realisation of degenerations using ALG and ALH spaces